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मिनीरत्न श्रेणी-I, सीपीएसई (भारत सरकार के पूर्ण स्वामित्वाधीन) Miniratna Category-I, CPSE (Wholly owned by Government of India)

भा.प्र.मु.मु.मु.नि.नि.लि./सतर्कता/03/09/ ५१७५५, SPMCIL/VIG/03/09/ दिनांक 23.10.2013 Date: 23.10.2013

<u>परिपत्र/ CIRCULAR</u>

विषय/Sub: शिकायतों के निपटान संबंधी दिशा-निर्देश।/ Guidelines regarding handling of complaints.

कार्मिक और प्रशिक्षण विभाग द्वारा दिनांक 18.10.2013 के कार्यालय ज्ञापन द्वारा शिकायतों के निपटान संबंधी जारी दिशा-निर्देशों की एक प्रति सभी संबंधितों को सूचनार्थ एवं उचित कार्रवाई हेतू परिचालित किया जाता है।

A copy of guidelines issued vide OM dated 18.10.2013 by Department of Personnel & Training regarding handling of complaints is circulated here with to all concerned for information and necessary action.

2. इसे सक्षम प्राधिकारी के अनुमोदन से जारी किया जाता है।

2. This issues with the approval of Competent Authority.

संलग्न/Encl: यथोपरि।/As above.

(एस .एस .बालानी)/(S. S. BALANI) वरिष्ठ सतर्कता अधिकारी/Sr. VO

महाप्रबंधक/General Manager,

टकसाल/मुद्रणालय/कागज़ कारखाना/नि.मु./ Mints/Presses/Paper Mill/CHO, भा.प्र.म्.म्.नि.नि.नि.।/SPMCIL.

प्रतिलिपि/CC:

1. अध्यक्ष तथा प्रबंध निदेशक के विशेष कर्त्तव्य अधिकारी।/OSD to CMD.

2. निदेशक (मानव संसाधन)/निदेशक (तकनीकी)/ मुख्य सतर्कता अधिकारी (प्रभारी)।/ Director (HR)/Director (T)/CVO(I/C)

3. सभी उप महाप्रबंधक (निगम मुख्यालय)/All DGMs (CHO).

4 .सभी सतर्कता अधिकारी।/ All Vigilance officials.

5. सूचना पटल/वेबसाइट।/Notice Board/Website.

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No.104/76/2011-AVD.I Government of India Ministry of Personnel & Public Grievances & Pensions (Department of Personnel & Training)

New Delhi, Dated October 18, 2013

OFFICE MEMORANDUM

Subject:- Guidelines regarding handling of complaints in Ministries/Departments.

The undersigned is directed to say that the instructions regarding dealing with anonymous and pseudonymous complaints as contained in this Department's OM No. 321/4/91-AVD.III, dated 29th September, 1992 and as reiterated vide DOP&T's OM No. 371/38/97-AVD.III, dated 3/11/1997, being at variance with instructions issued by CVC in this regard vide curcular No.3(V)/99/2 dated 29th June, 1999, No. 98/DSP/9, dated 31st January, 2002 and 11th October, 2002, had been receiving the attention of the Government for the past some time.

2. The matter was examined afresh in consultation with the Central Vigilance Commission. Subsequent to the Public Interest Disclosure & Protection of Informers' Resolution – 2004 (PIDPI), the Commission has created a mechanism for handling complaints where identity of the complainant is kept secret and the complainant is provided protection. This has been endorsed and operationalized by the Central Government with the approval of the competent authority.

3. In view of the fact that complainants who desire to protect their identity now have the protection of the Public Interest Disclosure & Protection of Informers' Resolution – 2004 (PIDPI), the following procedure is laid down for handling anonymous and pseudonymous complaints, in supersession of instructions contained in DoP&T's OM No. 321/4/91-AVD.III dated 29th September, 1992:

- (i) No action is required to be taken on anonymous complaints, irrespective of the nature of allegations and such complaints need to be simply filed.
- (ii) Complaints containing vague allegations could also be filed without verification of identity of the complainant.



(iii) If a complaint contains verifiable allegations, the administrative Ministry/Department may take cognizance of such complaint with the approval of the competent authority to be designated by the Ministry/Department as per their distribution of work. In such cases, the complaint will be first sent to the complainant for owning/disowning, as the case may be. If no response is received from the complainant within 15 days of sending the complaint, a reminder will be sent. After waiting for 15 days after sending the reminder, if still nothing is heard, the said complaint may be filed as pseudonymous by the Ministry/Department.

4. Instructions contained in para-3 above would also be applicable (with appropriate competent authority to be designated under para 3 (iii) above) for dealing with complaints against Secretaries to the Government of India or Chief Executives / CMDs / Functional Director of PSEs/PSBs/FIs, which will continue to be referred to the Cabinet Secretariat for placing before the Group of Secretaries headed by the Cabinet Secretary/Secretary (Coordination) in the Cabinet Secretariat, as the case may be, as per procedure given in Department's OM No. 104/100/2009-AVD.I, dated 14/1/2010 and DPE's OM No. 15(1)/2010-DPE(GM), dated 11/3/2010, as amended from time to time.

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(G. Srinivasan) Under Secretary to the Government of India

To,

- 1. All Ministries/Departments as per standard circulation list
- 2. Secretary, Central Vigilance Commission
- 3. Department of Public Enterprises
- 4. All Desks/Sections of DOP&T

5, Guard File

6. NIC, DOP&T Cell for placing a copy of this OM on the website of the Ministry.