

# एसपीएमसीआईएल कर्मचारी पेंशन फण्ड ट्रस्ट

## SPMCIL EMPLOYEES PENSION FUND TRUST

----- Limited Quotation Enquiry from Primary Dealers registered with RBI -----

F.No.: SPMCIL/Pension/Trust/37A/14-15/

Dated: 23.09.2014

To,  
The Primary Dealers  
As per list attached

**Subject: Investment Quotes for investment in Government Securities falling under 55% category as per the Guideline issued by Ministry of Finance vide notification no. F.No. 5 (88) /2006 –PR—dated 14<sup>th</sup> August, 2008.**

Sir,

SPMCIL Employees Pension Fund Trust proposes to invest approx. **Rs. 4.24 crores (approx.)** in Government Securities falling under 55% category as per the Guideline issued by Ministry of Finance vide notification no. F.No. 5 (88) /2006 –PR—dated 14<sup>th</sup> August, 2008.

2. The bids are invited from Primary Dealers in Government Securities/Other Securities as per the list appearing on the website of Reserve Bank of India. Interested bidders may submit most competitive rates of the securities available in the primary/secondary markets in the format given below in a **Sealed Cover only** addressed to “The Trustee, SPMCIL Employees Pension Fund Trust”, 16<sup>th</sup> Floor, Jawahar Vyapar Bhawan, Janpath, New Delhi – 110001 **on 25.09.2014 latest by 04.00 pm and to be opened at 04:30 pm on same day.**

Interested firms have to submit their offer strictly in the format given below:

| Detail of the Security/Bonds | Coupon Rate | Face Value per Bond | Offered Price | YTM (annualized) | Redemption Date/Maturity Date | Guaranteed by | Quantum available |
|------------------------------|-------------|---------------------|---------------|------------------|-------------------------------|---------------|-------------------|
|                              |             |                     |               |                  |                               |               |                   |

Any deviation from the format provided above may lead to rejection/disqualification of the offer.

### **Terms & Condition for Submitting the offer:**

1. Only Government Securities falling under 55% category as per the Guideline issued by Ministry of Finance vide notification no. F.No. 5 (88) /2006 –PR—dated 14<sup>th</sup> August, 2008 to be offered.
2. Deal shall be executed only through Demat account with HDFC Bank through NSCCL/F-Trac.
3. **The deal date will be 26.09.2014 & value date will be a next working day that is 29.09.2014 or deal and value date will be on 29.09.2014 & 30.09.2014 as decided by the Trustees of SPMCIL Employees Pension Fund Trust.**
4. Non-acceptance of the investment offer of the security by the firm if the same is short listed by the trustees may lead to blacklisting of the firm and/or firm may be debarred from future participation.
5. In case the firm/firms asked to quote is/are not willing to offer the securities, the same must be intimated by firm/firms by addressing to the Trustee, SPMCIL Employees Pension Fund Trust on the Fax No. 011-43582216.
6. Offer shall remain valid for at least **one day**.
7. Quotation received after stipulated time will not be considered.
8. **Quotation should be sent in the sealed envelope only. Quotation received through fax will not be considered.**

9. Envelope containing the quotation must be marked as **“Quotation for investment of Rs 4.24 Crores in Government Securities falling under 55% category by SPMCIL Employees Pension Fund Trust”**.
10. Quotation submitted by the firm if found non-compliant with the format provided may be liable for rejection/disqualification. Interested bidders are advised to visit our website [www.spmcil.com](http://www.spmcil.com) for more details under latest tenders by SPMCIL.
11. The offers/ quotations shall be accepted only from those firms to whom this invitation letter has been addressed. Offers from group companies or any other entities shall not be entertained.
12. Mere invitation to quote or receipt of quote shall not bind the Trust to accept the bid/ quotation from the offerer. The decision Trust in this respect shall be final and binding on the bidders.
13. This quotation letter is being published only as an abundant precaution and is not an open invitation to quote. Participation in this quotation is by invitation only and is limited to the Primary Dealers registered with RBI only. Unsolicited offers are liable to be ignored.
14. Bidders are also requested to provide the following details in their quotation letter:
  - Name of Beneficiary to whom funds will be remitted.
  - IFSC code of beneficiary.
  - Time of deal.
15. The Trust reserves the right to reject any or all quotations without assigning any reason.

**Evaluation of Offer:**

Offers will be evaluated based on **YTM** of the securities. Security/Securities yielding maximum YTM will be selected for making investment. In case of various securities offering the same YTM, the investment amount shall be proportionately distributed among various securities, as per the quantum offered.

Notwithstanding anything stated above, SPMCIL Employees' Pension Fund Trust reserves the right to reject the tender anytime without assigning any reason for the same.

Yours faithfully

*Sanjai Maheshwari*

**Sanjai Maheshwari**  
AGM (Finance)

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F.No.: SPMCIL/Pension /Trust /37A/14-15/

Dated: 23.09.2014

To,  
The Primary Dealers  
As per list attached

**Subject: Investment Quotes for Investment in Treasury Bills maturing after 31<sup>st</sup> March, 2015 under 5% category as per the Investment Guideline issued by Ministry of Finance F.No. 5(88)/2006 –PR – dated 14<sup>th</sup> August, 2008.**

Sir,

SPMCIL Employees Pension Fund Trust proposes to invest approx. **Rs. 39 lacs (approx.)** in Treasury bills maturing after 31<sup>st</sup> March, 2015 under 5% category as per the Guideline issued by Ministry of Finance F.No. 5(88)/2006 –PR – dated 14<sup>th</sup> August, 2008.

2. The bids are invited from primary dealers in Government Securities/Other Securities as per list appearing on the website of RBI. Interested bidders may submit most competitive rates of the securities available in the primary/secondary market in the format given below in a **sealed cover** addressed to “The Trustee, SPMCIL Employees Pension Fund Trust, 16<sup>th</sup> Floor, Jawahar Vyapar Bhawan, Janpath, New Delhi – 110001” **on 25.09.2014 latest by 04:00 pm and to be opened at 04:30 pm same day.**

Interested firms have to submit their offer strictly in the format given below:

| Details of the Treasury Bills | Face Value | Offered Price | YTM<br>(Annualized) | Redemption<br>Date/Maturity Date | Quantum<br>available |
|-------------------------------|------------|---------------|---------------------|----------------------------------|----------------------|
|                               |            |               |                     |                                  |                      |

Any deviation from the format provided above may lead to rejection/disqualification of the offer.

### **Terms & Condition for Submitting the offer:**

1. Treasury bills maturing after 31<sup>st</sup> March, 2015 under 5% category as per the guideline issued by Ministry of Finance only to be offered.
2. Deal shall be executed only through DEMAT/CSGL account with HDFC Bank.
3. **The deal date will be 26.09.2014 & value date will be a next working day that is 29.09.2014 or deal and value date will be on 29.09.2014 & 30.09.2014 as decided by the Trustees of SPMCIL Employees Pension Fund Trust.**
4. Offer shall remain valid for at least **one day**.
5. Non-acceptance of the investment offer of the security by the firm if the same is short listed by the trustees may lead to blacklisting of the firm and/or firm may be debarred from future participation.
6. In case the firm/firms asked to quote is/are not willing to offer the securities, the same must be intimated by firm/firms by addressing to the Trustee, SPMCIL Employees Pension Fund Trust on the Fax No. 011- 43582216.
7. Contact numbers, E-mail address and name & designation of the persons to be contacted for the confirmation of the deal should be clearly mentioned.
8. Quotation received after stipulated time will not be considered.

9. Quotation should be sent in the sealed envelope only. Quotation received through Email/Fax will not be considered.
10. Envelope containing the quotation must be marked as **“Investment Quotes for investment of Rs 39 lacs in T-Bills maturing after 31<sup>st</sup> March, 2015 by SPMCIL Employees Pension Fund Trust.”**
11. Quotation submitted by the firm if found non-compliant with the format provided may be liable for rejection/disqualification. Interested bidders are advised to visit our website [www.spmcil.com](http://www.spmcil.com) for more details under latest tenders by SPMCIL.
12. The offers/ quotations shall be accepted only from those firms to whom this invitation letter has been addressed. Offers from group companies or any other entities shall not be entertained.
13. Mere invitation to quote or receipt of quote shall not bind the Trust to accept the bid/ quotation from the bidders. The Trust's decision in this respect shall be final and binding on the bidders.
14. This quotation letter is being published only as an abundant precaution and is not an open invitation to quote. Participation in this quotation is by invitation only and is limited to the Primary Dealers registered with RBI only. Unsolicited offers are liable to be ignored.
15. Bidders are also requested to provide the following details in their quotation letter:
  - Name of Beneficiary to whom funds will be remitted.
  - IFSC code of beneficiary.
  - Time of deal.
16. The Trust reserves the right to reject any or all quotations without assigning any reason.

**Evaluation of Offer:**

Offers will be evaluated based on **YTM** of the securities. Security/Securities yielding maximum YTM will be selected for making investment. In case of various securities offering the same YTM, the investment amount shall be proportionately distributed among various securities, as per the quantum offered.

Notwithstanding anything stated above, SPMCIL Employees Pension Fund Trust reserves the right to reject the tender anytime without assigning any reason for the same.

Yours faithfully

*Sanjai Maheshwari*

**Sanjai Maheshwari**  
AGM (Finance)

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F.No.: SPMCIL/Pension/Trust/37A/14-15/

Dated: 23.09.2014

To,

The Primary Dealers  
As per list attached

**Subject: Investment Quotes for investment in Debt securities with maturity of not less than three years tenure issued by Bodies Corporate including banks and Public Financial Institutions (PFIs) both in primary/secondary market falling under 40% category as per the Guideline issued by Ministry of Finance vide notification no. F.No. 5(88)/2006 –PR – dated 14<sup>th</sup> August, 2008.**

Sir,

SPMCIL Employees Pension Fund Trust proposes to invest approx. **Rs. 3.07Crores (approx.)** in Debt securities with maturity of not less than three years tenure issued by Bodies Corporate including banks and public financial institutions both in primary/secondary market falling under 40% category as per the Guideline issued by Ministry of Finance vide notification no. F.No. 5(88)/2006 –PR – dated 14<sup>th</sup> August, 2008.

2. The bids are invited from Primary Dealers in Government Securities/Other Securities as per the list appearing on the website of Reserve Bank of India. Interested bidders may submit most competitive rates of the securities available in the primary/secondary markets in the format given below in a **Sealed Cover only** addressed to "The Trustee, SPMCIL Employees Pension Fund Trust", 16<sup>th</sup> Floor, Jawahar Vyapar Bhawan, Janpath, New Delhi – 110001 **on 25.09.2014 latest by 04:00 pm and to be opened at 04:30 pm on same day.**

Interested firms have to submit their offer strictly in the format given below:

| Detail of the Bonds | Coupon Rate | Face Value per Bond | Offered Price | YTM (annualized) | Redemption Date/Maturity Date | Quantum available | Rating |
|---------------------|-------------|---------------------|---------------|------------------|-------------------------------|-------------------|--------|
|                     |             |                     |               |                  |                               |                   |        |

Any deviation from the format provided above may lead to rejection/disqualification of the offer.

### **Terms & Condition for Submitting the offer:**

1. Only Debt securities with maturity of not less than three years tenure issued by Bodies Corporate including banks and public financial institutions both in primary/secondary market under 40% category as per the Guideline issued by Ministry of Finance to be offered. **The bonds should be secured and unsecured/subordinated bonds will not be considered.**
2. Deal shall be executed only through Demat/CSGL account with HDFC Bank.
3. **Bonds/Securities with credit rating of AAA will only be considered for investment of surplus funds.**
4. **Perpetual Bonds, Basel III bonds and Securities of Private PFIs will not be entertained. Central/ State Guaranteed securities will not be considered if quoted under 40% category.**
5. **The deal date will be 26.09.2014 & value date will be a next working day that is 29.09.2014 or deal and value date will be on 29.09.2014 & 30.09.2014 as decided by the Trustees of SPMCIL Employees Pension Fund Trust.**

6. Non-acceptance of the investment offer of the security by the firm if the same is short listed by the trustees may lead to blacklisting of the firm and/or firm may be debarred from future participation.
7. In case the firm/firms asked to quote is/are not willing to offer the securities, the same must be intimated by firm/firms by addressing to the Trustee, SPMCIL Employees Pension Fund Trust on the Fax No. 011-43582216.
8. Offer shall remain valid for at least **one day**. Quotation received after stipulated time will not be considered.
9. Quotation should be sent in the sealed envelope only. Quotation received through fax will not be considered.
10. Envelope containing the quotation must be marked as “Quotation for Investment of Rs 3.07 Crores in Debt securities by SPMCIL Employees Pension Fund Trust.”
11. Quotation submitted by the firm if found non-compliant with the format provided may be liable for rejection/disqualification. Interested bidders are advised to visit our website [www.spmcil.com](http://www.spmcil.com) for more details under latest tenders by SPMCIL.
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Notwithstanding anything stated above, SPMCIL Employees Pension Fund Trust reserves the right to reject the tender anytime without assigning any reason for the same.

Yours faithfully

*Sanjai Maheshwari*

**Sanjai Maheshwari**  
Addl. General Manager (Finance)