



एसपीएमसीआईएल कर्मचारी भविष्य निधि ट्रस्ट

SPMCIL EMPLOYEES PROVIDENT FUND TRUST

F.No.: SPMCIL/EPF/Trust/1952/11/PFI

Dated: 28.10.2013

To,

The Primary Dealers

As per list attached

Subject: Investment Quotes from Primary Dealers for investment in Bonds/Securities of Public Financial Institutions as specified under Section 4(1) of the Companies Act, 1956, Public Sector companies (PSU) as defined in Section 2(36-A) of Income Tax Act, 1961 including public sector banks both in primary/secondary market under 30% category as per the Guideline issued by Ministry of Labour.

Sir,

SPMCIL EPF Trust -1952 proposes to invest approx. **Rs. 20 crores** in Bonds/Securities of Public Financial Institutions as specified under Section 4(1) of the Companies Act, 1956, Public Sector companies (PSU) as defined in Section 2(36-A) of Income Tax Act, 1961 including public sector banks both in primary/secondary market under 30% category as per the Guideline issued by Ministry of Labour.

2. The bids are invited from primary dealers in Govt. Securities registered with the Reserve Bank of India. Interested bidders may submit most competitive rates of the securities available in the primary/secondary markets in the format given below in a **Sealed Cover only** addressed to "The Trustee, SPMCIL EPF Trust", 16th Floor, Jawahar Vyapar Bhawan, Janpath, New Delhi – 110001 on **30.10.2013 latest by 11:30 am and to be opened at 11:45 am on same day by.**

Interested firms have to submit their offer strictly in the format given below:

Detail of the Bonds	Coupon Rate	Face Value per Bond	Offered Price	YTM (annualized)	I/P Dates	Redemption Date/Maturity Date	Quantum available	Secured/ Unsecured

Any deviation from the format provided above may lead to rejection/disqualification of the offer.

Terms & Condition for Submitting the offer:

- Only Bonds/Securities of Public Financial Institutions as specified under Section 4(1) of the Companies Act, 1956, Public Sector companies (PSU) as defined in Section 2(36-A) of Income Tax Act, 1961 including public sector banks both in primary/secondary market under 30% category as per the Guideline issued by Ministry of Labour to be offered. **Perpetual Bonds will not be entertained. The bonds should be secured and unsecured bonds will not be considered.**
- Deal shall be executed only through Demat/CSGL account with HDFC Bank.
- The deal date will be 30.10.2013 & value date will be a next working day that is 31.10.2013.
- Non-acceptance of the investment offer of the security by the firm if the same is short listed by the trustees may lead to blacklisting of the firm and/or firm may be debarred from future participation.
- In case the firm/firms asked to quote is/are not willing to offer the securities, the same must be

~~intimated by firm/firms by addressing to the Trustee, SPMCIL Employees Provident Fund Trust -1952~~

011-43582223 16 वीं मंजिल, जवाहर व्यापार भवन, जनपथ, नई दिल्ली – 110001
011-43582254 16th Floor, Jawahar Vyapar Bhawan, Janpath, New Delhi - 110001

फैक्स/Fax : 011-23701223
E-mail : epfdlcor@spmcil.com

on the Fax No. 011-43582286.

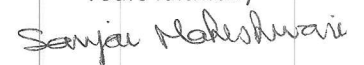
6. Offer shall remain valid for at least **one day**.
7. **Contact numbers, E-mail address and name & designation of the persons to be contacted for the confirmation of the deal should be clearly mentioned.**
8. Quotation received after stipulated time will not be considered.
9. Quotation should be sent in the sealed envelope only. Quotation received through fax will not be considered.
10. Envelope containing the quotation must be marked as "Quotation for Investment in Bonds/Securities of Public Financial Institutions as specified under Section 4(1) of the Companies Act, 1956, Public Sector companies (PSU) as defined in Section 2(36-A) of Income Tax Act, 1961 including public sector banks both in primary/secondary market under 30% category as per the Guideline issued by Ministry of by SPMCIL Employees Provident Fund Trust"
11. Quotation submitted by the firm if found non-compliant with the format provided may be liable for rejection/disqualification.
12. The offers/ quotations shall be accepted only from those firms to whom this invitation letter has been addressed. Offers from group companies or any other entities shall not be entertained.
13. Mere invitation to quote or receipt of quote shall not bind the Trust to accept the bid/ quotation from the offerer. The decision of the pension trust in this respect shall be final and binding on the bidders.
14. SPMCIL EPF Trust is not responsible for delay/non receipt of postage/fax. Interested bidders are advised to visit our website www.spmcil.com for more details under latest tenders by SPMCIL.
15. Bidders are also requested to provide the following details in their quotation letter:
 - Name of Beneficiary to whom funds will be remitted.
 - IFSC code of beneficiary.
 - Time of deal.
16. The Trust reserves the right to reject any or all quotations without assigning any reason.

Evaluation of Offer:

Offers will be evaluated based on **YTM** of the securities. Security/Securities yielding maximum YTM will be selected for making investment. In case of various securities offering the same YTM, the investment amount shall be proportionately distributed among various securities, as per the quantum offered.

Notwithstanding anything stated above, SPMCIL Employees Provident Fund Trust – 1952 reserves the right to reject the tender anytime without assigning any reason for the same.

Yours faithfully

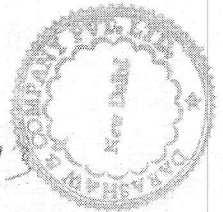


Sanjai Maheshwari
Senior DGM (Finance)

To be published in the Gazette of India, Part II, Section 3, Sub-Section(ii)

Government of India/Bharat Sarkar
Ministry of Labour/Shram Mantralaya

New Delhi, Dated 9.7.02

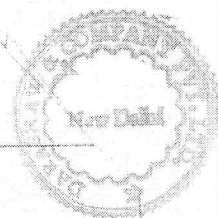


NOTIFICATION

S.O. --- In exercise of the powers conferred by Sub-paragraph (1) of Paragraph 52 of the Employees' Provident Funds Scheme, 1952 and in supersession of the Notification of the Government of India in the Ministry of Labour No. S.O. 1398 dated the 11th July 1998 (dated 19.6.1998 published in the Gazette of India) the Central Government hereby directs that all incremental accretions belonging to the Fund shall be invested in accordance with the following pattern namely -

INVESTMENT PATTERN

Percentage amount to be invested	
(i) Central Government Securities as defined in Section 2 of the Public Debt Act, 1944 (18 of 1944); and/or units of such Mutual Funds which have been set up as dedicated Funds for investment in Government securities and which have been approved by the Securities and Exchange Board of India.	25%
(ii) (a) Government Securities as defined in Sec. 2 of the Public Debt Act, 1944 (18 of 1944); created and issued by any State Government; and/or units of such Mutual Funds which have been set up as dedicated Funds for investment in Govt. securities and which have been approved by the Securities and Exchange Board of India; and/or (b) Any other negotiable securities the principal whereof and interest whereon is fully and unconditionally guaranteed by the Central Govt. or any State Government except those covered under (iii) (a) below	15%



(iii) (a) Bonds/Securities of 'Public Financial Institutions' as specified under Section 4(1) of the Companies Act, "public sector companies" as defined in Section 2(36-A) of the Income Tax Act, 1961 Including public sector banks; and/or (b) Short duration (less than a year) Term Deposit Receipt (TDR) issued by public sector banks.	30%
(iv) to be invested in any of the above three categories as decided by their Trustees.	30%
(v) The Trusts, subject to their assessment of risk-return prospects, may invest upto 1/3 rd of (iv) above, in private sector bonds/ securities, which have an investment grade rating from at least two credit rating agencies	

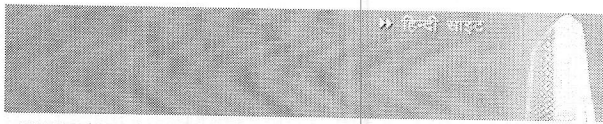
2. Any money received on the maturity of earlier investments reduced by obligatory outgoing shall be invested in accordance with the investment pattern prescribed in this Notification.
3. In case of any instruments mentioned above being rated and their rating falling below investment grade and the same rating has been confirmed by two credit rating agencies then the option of exit can be exercised.
4. The investment pattern as envisaged in the above paragraphs may be achieved by the end of a financial year, and shall come into force with immediate effect.

F. No.G-27031/3/99-SS-II

(D.S. Poonia)

Joint Secretary to the Govt. of India

The Manager,
Government of India Press,
Mayapuri, New Delhi.



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About Us



RBI - Brochure explaining RBI's Role and Functions in brief



Reserve Bank of India : Functions and Working

List of Primary Dealers in Government Securities Market (As on October 03, 2012)

STAND ALONE PRIMARY DEALERS

BANK PRIMARY DEALERS

Deutsche Securities (India) Pvt. Ltd.
5th Floor, Nirlon Knowledge Park, Block 1
Western Express Highway
Goregaon (East)
Mumbai- 400 063
Phone: (022) 66703066/3067/3068
Fax : 66703070

ICICI Securities Primary Dealership Limited
ICICI Centre
H.T.Parekh Marg
Churchgate
Mumbai- 400 020
Phone: (022) 22882450/70, 66377421

Morgan Stanley India Primary Dealer Pvt. Ltd.
18F/19F, Tower 2, One Indiabulls Centre
841, Senapati Bapat Marg
Mumbai - 400 013

Nomura Fixed Income Securities Pvt. Ltd.
Ceejay House, 11th Level
Plot F, Shivasagar Estate
Dr. Annie Besant Road
Worli
Mumbai - 400 018
Phone - (022) 40374037
Fax - (022) 40374111

PNB Gilts Ltd.
5, Sansad Marg
New Delhi- 110 001
Phone: Mumbai -(022) 22693315/17
New Delhi (011) 23325751,22693315/17

SBI DFHI Ltd
3rd Floor, Voltas House,
23, J.N.Heredia Marg
Ballard Estate
Mumbai- 400 001
Phone:(022) 22625970/73 ,22610490 ,66364696

STCI Primary Dealer Limited
A/B1-801 (A Wing) 8th Floor Marathon Innova,
Marathon Nextgen Compound
Off Ganpatrao Kadam Marg, Lower Parel(W)
Mumbai- 400 013
Phone:(022) 30031100, 66202261 /2200

The Royal Bank of Scotland N.V.
3rd to 7th Floor, 4, North Avenue
Maker Maxity
Banra-Kurla Complex
Bandra (East)
Mumbai 400 051
Telephone - 6656 3801
Fax - 6656 - 3827

Bank of America
Treasury Operations
Gr.Floor, Express Towers
Nariman Point, Mumbai- 400 021
Phone: (022) 66323000 extn.3150

Bank of Baroda
Specialised Integrated Treasury
4th & 5th Floor, Baroda Sun Tower
C-34,G-Block,Bandra Kurla complex
Bandra (East)
Mumbai - 400 051

Canara Bank
Treasury & Investment Operations Division,
223, Maker Chambers III, 7th Floor
Nariman Point Mumbai-400 021
Phone: (022) 22864601/22800101-105 ,22661348

Citibank N.A
5th Floor,Citibank Centre
Bandra Kurla Complex
Bandra (E), Mumbai-400 051
Phone:(022) 40015453/51, 40015378

Corporation Bank
Investment & International Banking Division
15, Mittal Chambers, Nariman Point
Mumbai-400 021
Phone:(022) 22833238/22023304 ,
22832429/22022796/22871054

HDFC Bank Ltd.
Treasury Mid Office,
1st Floor,HDFC Bank House
Senapati Bapat Marg,Lower Parel
Mumbai- 400 013
Phone:(022) 24904702/4935/

Goldman Sachs (India) Capital Markets Pvt. Ltd.
951-A, Rational House
Appasaheb Marathe Marg
Prabhadevi
Mumbai 400 025

3899,66521372/9892975232
Hongkong and Shanghai Banking Corpn. Ltd. (HSBC)
Treasury Services
52/60,Mahatma Gandhi Road
Mumbai- 400 001
Phone: (022)-2268 5555 and (022)-2268 1655
J P Morgan Chase Bank N.A, Mumbai Branch
J.P. Morgan Tower
Off C.S.T. Road, Kalina
Santacruz(East)
Mumbai - 400 098
Phone -61573000
Fax- 61573990 & 61573916
Kotak Mahindra Bank Ltd.
Treasury Operations
1st Floor,Bakhtawar
Nariman Point,Mumbai -400 021.
Phone:(022) 6659 6022/6454 ,66596235/6454
Standard Chartered Bank
Financial Market Operation
Crescenzo, 5th Floor
Plot no. C-38 & 39, G – Block
Bandra Kurla Complex
Mumbai – 400 051
Axis Bank Ltd.
Treasury Operation (SLR & Money Market)
Corporate Office,4th Floor, Axis House
Bombay Dyeing Compound
Pandurang Budhkar Marg
Worli
Mumbai - 400 025
IDBI Bank Ltd.
IDBI Tower
WTC Complex, Cuffe Parade
Mumbai 400 005

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